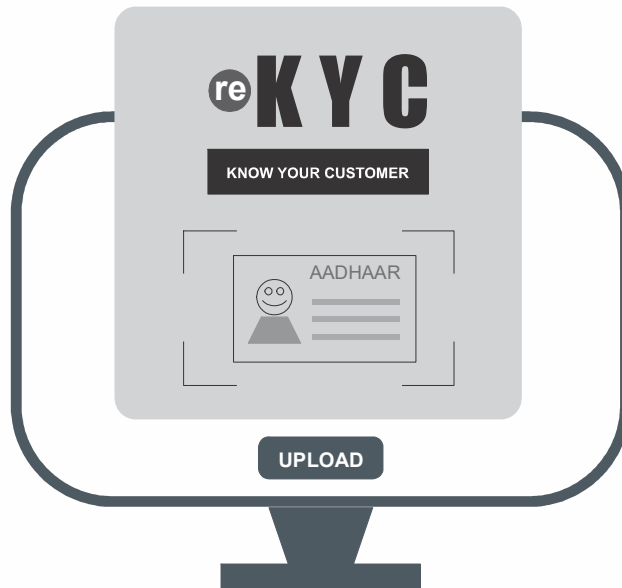


Form No: _____

CKYC Reg: _____

Unique Client Code (UCC):

Demat BO-ID: **12060700 -**



RE-KYC FORM NON INDIVIDUALS

For NSE (CM/FO), BSE (CM/FO) & CDSL (Demat)

Note: Fill Page Nos. 3-6 only if there is any change or if not submitted earlier.

Checklist (For Non Individuals):

- ☐ PAN Card of the Entity
- ☐ Address Proof of the Entity
- ☐ Memorandum and Articles of Association / Trust / Partnership Deed
- ☐ Board Resolution to open Trading/Demat Account
- ☐ Shareholding / Ownership Pattern of the Entity
- ☐ List of Directors / Partners / Trustees
- ☐ Latest Networth Certificate
- ☐ Audited Balance Sheet for last two years
- ☐ Income Tax Returns for last two years
- ☐ KYC for each Director / Partner / Trustee/
Karta must be done separately
- ☐ PAN Card of all the Directors / Partners / Trustees / Karta
- ☐ Aadhaar Card of all the Directors/Partners/Trustees/Karta
- ☐ 1 Passport size photographs of all the Directors/Partners/ Trustees / Karta (Affix & sign across on CKYC Form Pg.2)

All documents must be stamped and signed / self attested by authorised signatories

ver.DEC2025



AGROY FINANCE AND INVESTMENT LTD

Member: NSE, BSE | SEBI Regn INZ00018550

DP: CDSL | SEBI Regn INZ00018550 IN-DP-513-2020

 AGROY House
A-21 Kailash Colony
New Delhi 110048 INDIA

 8448897100
 www.agroy.com



STOCKS & DERIVATIVES



Click or Scan to Save Contact

Name of Applicant: _____

PAN of Applicant: _____

Name, PAN, Residential Address and Photographs of Promoters / Partners / Karta / Trustees / and Wholtime/Other Directors

1 Name of Authorised Signatory _____

Date of Birth

d	d
m	m
y	y
y	y

 Status _____ PAN _____

Residential Address _____

UID (Aadhaar)

X	X	X	X	X	X	X	X
---	---	---	---	---	---	---	---

 DIN _____ DPIN _____

Email ID _____

Mobile _____

Please tick if applicable ☐ Not a Politically Exposed Person (PEP) ☐ Politically Exposed Person (PEP)
☐ Not related to a PEP ☐ Related to a PEP

PHOTOGRAPH

 Please affix
the recent passport
size photograph and
sign across it


2 Name of Authorised Signatory _____

Date of Birth

d	d
m	m
y	y
y	y

 Status _____ PAN _____

Residential Address _____

UID (Aadhaar)

X	X	X	X	X	X	X	X
---	---	---	---	---	---	---	---

 DIN _____ DPIN _____

Email ID _____

Mobile _____

Please tick if applicable ☐ Not a Politically Exposed Person (PEP) ☐ Politically Exposed Person (PEP)
☐ Not related to a PEP ☐ Related to a PEP

PHOTOGRAPH

 Please affix
the recent passport
size photograph and
sign across it


3 Name of Authorised Signatory _____

Date of Birth

d	d
m	m
y	y
y	y

 Status _____ PAN _____

Residential Address _____

UID (Aadhaar)

X	X	X	X	X	X	X	X
---	---	---	---	---	---	---	---

 DIN _____ DPIN _____

Email ID _____

Mobile _____

Please tick if applicable ☐ Not a Politically Exposed Person (PEP) ☐ Politically Exposed Person (PEP)
☐ Not related to a PEP ☐ Related to a PEP

PHOTOGRAPH

 Please affix
the recent passport
size photograph and
sign across it


4 Name of Authorised Signatory _____

Date of Birth

d	d
m	m
y	y
y	y

 Status _____ PAN _____

Residential Address _____

UID (Aadhaar)

X	X	X	X	X	X	X	X
---	---	---	---	---	---	---	---

 DIN _____ DPIN _____

Email ID _____

Mobile _____

Please tick if applicable ☐ Not a Politically Exposed Person (PEP) ☐ Politically Exposed Person (PEP)
☐ Not related to a PEP ☐ Related to a PEP

PHOTOGRAPH

 Please affix
the recent passport
size photograph and
sign across it

Name & Signature(s) of Authorised Person

Place: _____

Date: _____

Please tick the applicable tax residence declaration:

I. Is "Entity" a tax resident of any country other than India ☐ Yes ☐ No
 (If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

S. No.	Country	Tax Identification Number%	Identification Type (TIN or Other, please specify)%
1.			
2.			
3.			

%In case Tax Identification Number is not available, kindly provide its functional equivalent. In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here:

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

1.	We are a, Financial institution <input type="checkbox"/> (Refer 1 of Part C) or Direct reporting NFE <input type="checkbox"/> (Refer 3(vii) of Part C) (please tick as appropriate)	GIIN: _____ Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below Name of sponsoring entity: _____
	GIIN not available (please tick as applicable)	<input type="checkbox"/> Applied for <input type="checkbox"/> Not obtained - Non-participating FI <input type="checkbox"/> Not required to apply for - please specify 2 digits sub category ____ (Refer I A of Part C)

PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

1.	Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market) (Refer 2a of Part C)	Yes <input type="checkbox"/> (If yes, please specify any one Stock Exchange on which the stock is regularly traded) Name of Stock Exchange _____
2.	Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) (Refer 2b of Part C)	Yes <input type="checkbox"/> (If yes, please specify name of the listed entity and one Stock Exchange on which the stock is regularly traded) Name of Company _____ Nature of relation: <input type="checkbox"/> Subsidiary of the listed Company or <input type="checkbox"/> Controlled by a Listed Company Name of Stock Exchange _____
3.	Is the Entity an active NFE (Refer 2c of Part C)	Yes <input type="checkbox"/> Nature of Business _____ Please specify the sub-category of Active NFE _____ (Mention code – refer 2c of Part C)
4.	Is the Entity a passive NFE (Refer 3(ii) of Part C)	Yes <input type="checkbox"/> Nature of Business _____


UBO Declaration (Mandatory for all entities except, a Publicly Traded Company or a related entity of Publicly Traded Company)

Category (Please tick appropriate category)
 ☐ Unlisted Company
 ☐ Partnership Firm
 ☐ Limited Liability Partnership Company
☐ Unincorporated Association / Body of Individuals
 ☐ Public Charitable Trust
 ☐ Religious Trust
 ☐ Private Trust
☐ Others (Please specify) _____

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s). (Please attach additional sheets if necessary)
 Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of Part C)

Details	UBO 1	UBO 2	UBO 3
Name of UBO			
UBO Code (Refer 3(iv) (A) of Part C)			
Country of Tax Residency*			
PAN #			
Address			
Address Type	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office
Tax ID %			
Tax ID Type			
City of Birth			
Country of Birth			
Occupation Type	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____
Nationality			
Father's Name			
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
Date of Birth			
Percentage of Holding (%)\$			

DECLARATION: I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform Agroy Finance and Investment Ltd for any modification to this information promptly. I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).



 Authorised Signatory

 Authorised Signatory

 Authorised Signatory

To, AGROY FINANCE AND INVESTMENT LTD.,
New Delhi
(SEBI REG#INZ000185530) (DP ID 12060700)

UCC: | | | | | | | | **BOID:** 1 2 0 6 0 7 0 0 | | | | | | | | **DATE:** D D / M M / Y Y Y Y

I/We hereby request you to send all your communications pertaining to my/our Trade Confirmations, Contract Notes, MTM Obligation, Margin Calls or any other communication (which is necessary from your Compliance point of view) including the verification call from Agroy etc., on the below mentioned Mobile number / Email ID:

Mobile No:..... Name:..... ☐ Self ☐ Spouse ☐ Child ☐ Parent ☐ Authorised Signatory

	1st Holder / Auth.Signatory	2ndt Holder / Auth.Signatory	3rd Holder / Auth.Signatory
SIGN			
NAME			

To, AGROY FINANCE AND INVESTMENT LTD.,
New Delhi
(SEBI REG#INZ000185530) (DP ID 12060700)

UCC: | | | | | | | **BOID:** 1 2 0 6 0 7 0 0 | | | | | | | **DATE:** D D / M M / Y Y Y Y

Bank Name																				
Branch Address																				
	City/Town/Village															PIN Code				
	State										Country									
Bank Account No.																				
Account Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others - In case of										<input type="checkbox"/> NRI <input type="checkbox"/> NRE <input type="checkbox"/> NRO									
MICR Number											IFSC Code									

NSE, BSE		
All Segments	Cash / Mutual Fund	F&O

Net-Worth in Rs. as on (date) / /

	1st Holder / Auth.Signatory	2ndt Holder / Auth.Signatory	3rd Holder / Auth.Signatory
SIGN			
NAME			

This Demat Debit and Pledge Instruction is annexed to the Non-Judicial e-Stamp Paper vide Certificate No..... Dated:.....

DEMAT DEBIT AND PLEDGE INSTRUCTION

To
Agroy Finance and Investment Limited
CDSL DP ID 60700
New Delhi

Trading UCC: _____ Demat BOID: 12060700 _____

Pursuant to SEBI Circular SEBI/HO/MIRSD/DoP/P/CIR/2022/44 dated April 04, 2022, with respect to my / our Demat Account and Trading Account held with you, I/we hereby authorise you to carry out Demat Debit and Pledge Instructions as follows:

1. Transfer of securities held in my/our beneficial owner accounts towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by me/us on the Stock Exchange through Agroy Finance and Investment Limited.		First Holder
		Second Holder
		Third Holder
2. Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) viz. Agroy Finance and Investment Limited for the purpose of meeting my / our margin requirements in connection with the trades executed by me/us on the Stock Exchange.		First Holder
		Second Holder
		Third Holder
3. Mutual Fund transactions being executed on Stock Exchange order entry platforms.		First Holder
		Second Holder
		Third Holder
4. Tendering shares in open offers through Stock Exchange platforms.		First Holder
		Second Holder
		Third Holder

* the same may be eSigned or signed physically by all joint holders / authorised signatories

* in this regard refer the clause in 'Rights and Obligations' Document under the sub heading 'Additional Rights and obligations. Given on website and in client copy

MOST IMPORTANT TERMS AND CONDITIONS (MITC)

1. Your trading account has a “Unique Client Code” (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker’s Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

ACKNOWLEDGEMENT:

Date - -

First Holder Signature

Second Holder Signature

Third Holder Signature