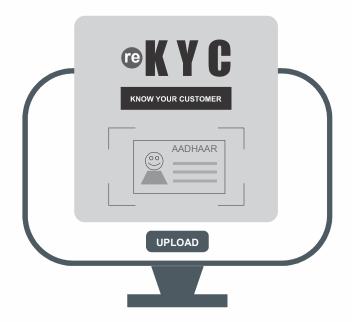
Form No: ______
CKYC Reg: _____

Unique Client Code (UCC):

Demat BO-ID: 12060700 -



RE-KYC FORM NON INDIVIDUALS

For NSE (CM/FO), BSE (CM/FO) & CDSL (Demat)

<u>Note:</u> Fill Page Nos. 3-6 only if there is any change or if not submitted earlier.

Checklist (For Non Individuals):

PAN Card of the Entity

Address Proof of the Entity

Memorandum and Articles of Association / Trust /

Partnership Deed

Board Resolution to open Trading/Demat Account

Shareholding / Ownership Pattern of the Entity

List of Directors / Partners / Trustees

Latest Networth Certificate

Audited Balance Sheet for last two years

Income Tax Returns for last two years

KYC for each Director / Partner / Trustee/

Karta must be done separately

PAN Card of all the Directors / Partners / Trustees / Karta

Aadhaar Card of all the Directors/Partners/Trustees/Karta

1 Passport size photographs of all the Directors/Partners/

Trustees / Karta (Affix & sign across on CKYC Form Pg.2)
All documents must be stamped and signed / self attested by

authorised signatories



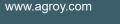
ver.DEC2025

AGROY FINANCE AND INVESTMENT LTD

Member: NSE, BSE | SEBI Regn INZ00018550 DP: CDSL | SEBI Regn INZ00018550 IN-DP-513-2020













AGROY	Know Your C Application F		OR NON INDIVI	DUALS C	NLY	App	licati	on No	.:						CERSAI
A. Identity De									m check GLISH a					ERS	
						i ica.	oc IIII	III LIVE	JEIJII G	110 III	DLC	CK		-113	
	olicant full name as per Incorporation /														
2. Date of Inco	rporation	d d m m y	y y y y Place	of Incorpor	ation										
3. Registration	No. (e.g.CIN)			Date	of Com	mence	ment	of Busi	iness	d d	d_l_m	m	у	у	у у
4. Status	☐ Pvt. Ltd. Cor☐ HUF☐ Society	mpany	Bank	Partnership Govt. Body FPI-2	☐ Trust/ ☐ Non-0	Govt Org	. 🔲 De	efence E							
5. PAN (Manda	tory)														
B. Address D	etails														
1. Address for City / Town / Vill State		Principal place of Bus	siness	Cour	try				P	in Code					
2. Contact Deta Tel. (Off.) (ISD Mobile (ISD E-Mail Id.) (STD)			Tel. (R	, , ,	,									
3. Specify the particle *Should not more 4. Registered A	e than 2 months old. DA	fubmitted for Correspo TE / VALIDITY: d d m	ondence Address 🗌	*Latest Telepho	ne Bill (La	ndline) []*Lates	t Bank S	tatement[*Late		ectricit	y Bill		
City / Town / Vill State	age			Cour	trv				P	in Code					
5. Specify the page *Should not more	e than 2 months old. DA	ubmitted for Registere TE / VALIDITY: d d m Rs.1 lakh Rs.1 to 5 Lakh	m y y y y	*Latest Telepho Registered Lea	ne Bill (La se / Sale A	greemer	nt 🗌 Any	other _							
		DECLAR	ATION				Nan	ne & S	Signatu	ıre(s)	of A	Auth	orise	ed Pe	erson
belief and I we und is found to be false I am aware of othe Aadhaar record come KYC record and give my consent registered mobile request shall be with readable QR or sound to the consent of the consent	der-take to inform you or untrue or misleadirer modes of KYC whice an be used by KRA at some audit evidence. I for receiving informat number/email addresalidated against Aadhacode or my Aadhaar XI	s furnished by me are true of any changes therein, in gor misrepresenting, I ar h are available and I have gencies only for the spec will have an option to recion including Central KY ss. I am/we are also awa aar details. I/We hereby of ML/Dig locker XML file, all encies/ Intermediaries with the soft of the second s	mmediately. In case any m/we are aware that I/We e chosen Aadhaar base; ific purpose validating/ juest for deleting of my A'C Registry through Sare that for Aadhaar OV consent to sharing my/or ong with pass code and a	of the above in a may be held in method volumaintaining/stadhaar record MS/Email on D based KY(Iur masked Aas applicable	nformatio liable for i intarily. M sharing m d. I hereb the abov c, my KYO dhaar car with SEB	n t. y y y e C d	Place:								•
			FOR OFFICE	USE O	NLY										
	diary name OR code		☐ In-Person Verificat												

Designation: ☐ (Originals Verified) Self Certified Document copies received Staff Name: _ Date: | d | d | / | m | m | / | y | y | y | y | Signature: ___ Main Intermediary Seal/Stamp of the intermediary: AGROY

FOR NON INDIVIDUALS ONLY

ANNEXURE

Application No.:

See Instructions cum checklist on the last page



Name of Applicant:	PAN of Applicant:	
Name, PAN, Residential Address and Photographs o	of Promoters / Partners / Karta / Trustees / and Wholetime/Oth	er Directors
Name of Authorised Signatory Date of Birth d d m m y y y y Status Residential Address UID (Aadhaar) X X X X X X X X DIN Email ID	S	PHOTOGRAPH Please affix the recent passport size photograph and sign across it
Mobile	P) Politically Exposed Person (PEP) Related to a PEP	•
Name of Authorised Signatory Date of Birth d d m m y y y y Status Residential Address UID (Aadhaar) X X X X X X X X X	DPIN	PHOTOGRAPH Please affix the recent passport size photograph and sign across it
Not related to a PEP Not related to a PEP Name of Authorised Signatory Date of Birth Date of Birth	Related to a PEP	PHOTOGRAPH Please affix
	DPIN	the recent passport size photograph and sign across it
Please tick if applicable Not a Politically Exposed Person (PEI Not related to a PEP		
Name of Authorised Signatory Date of Birth dddmmmyyyyyy Status Residential Address UID (Aadhaar) X X X X X X X X X	S PAN DPIN	PHOTOGRAPH Please affix the recent passport size photograph and sign across it
Please tick if applicable Not a Politically Exposed Person (PEI	P) Politically Exposed Person (PEP) Related to a PEP	•
	Name & Signature(s) of Autl	norised Person
		•
Place:		
Date		

Ple	ase tick the applicable tax reside	nce declaration:				
	"Entity" a tax resident of any count res, please provide country/ies in w		☐ No x purposes and the associated Tax ID number below	v.)		
S.	No. Country T	ax Identification Number%	Identification Type (TIN or Other, please specify)%			
	1.					
_	2.					
,	3.					
	case Tax Identification Number is not ilable, please provide Company Identific		nal equivalent.In case TIN or its functional equivalent is a cation Number or GIIN, etc.	not		
	ase the Entity's Country of Incor ity is not a Specified U.S. Person					
P	ART A (to be filled by Financial In	stitutions or Direct Reporting	NFEs)			
1.	We are a, Financial institution (Refer 1 of Part C) or Direct reporting NFE (Refer 3(vii) of Part C) (please tick as appropriate)	your sponsor's GIIN above and in	ut you are sponsored by another entity, please provide ndicate your sponsor's name below			
	GIIN not available (please tick as applicable)	Not obtained - Non-participating FI				
		Not required to apply for - ple	ase specify 2 digits sub category (Refer I A of Part	C)		
P	ART B (please fill any one as app	ropriate "to be filled by NFEs o	other than Direct Reporting NFEs")			
1.	Is the Entity a publicly traded compan (that is, a companywhose shares are regularly traded on an established securities market) (Refer 2a of Part C	Name of Stock Exchange	one Stock Exchange on which the stock is regularly trade	;d)		
2.	Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) (Refer 2b of Part C)	regularly traded) Name of Company Nature of relation: Subsidiary of	of the listed entity and one Stock Exchange on which the stock is			
3.	Is the Entity an active NFE (Refer 2c of Part C)		f Active NFE (Mention code – refer 2c of Part C))		
4.	Is the Entity a passiveNFE (Refer 3(ii) of Part C)	Yes Nature of Business				

			UBO Declaration (Mandatory for all entities
ed Liability Partnership Compar			tegory (Please tick appropriate category)
Private Trust	ble Trust Religious Trust	Individuals Public Charita	Unincorporated Association / Body of
_			Others (Please specify)
ssary)	ch additional sheets if necessa	olling person(s). (Please attac	lease list below the details of controlling pers LL Tax Identification Numbers for EACH cont owner-documented FFI's should provide FFI (V8 BEN E (Refer 3(vi) of Part C)
UBO 3	UBO 2	UBO 1	Details
			lame of UBO
			JBO Code (Refer 3(iv) (A) of Part C)
			Country of Tax Residency*
			PAN#
			Address
Residence Business Registered Office	Residence Business Registered Office	Residence Business Registered Office	Address Type
			ax ID %
			ax ID Type
			City of Birth
			Country of Birth
Service Business Others	☐ Service ☐ Business ☐ Others_	Service Business Others	Occupation Type
			Vationality
			ather's Name
rs Male Female Others	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others	Gender
			Date of Birth
			Percentage of Holding (%)\$
orm is true, correct and this information promptly. I & CRS on Automatic Exchange	n provided by me on this Forn nt Ltd for any modification to the er alia provisions of FATCA & (by confirm that the informatic Agroy Finance and Investmentheme related documents int	ECLARATION: I have read and understoong with FATCA & CRS instructions) and her amplete. I hereby agree and confirm to inform rther agree to abide by the provisions of the substruction (AEOI).
ise	Authorise	Authorised Signatory	Authorised Signatory

MODIFICATION IN MOBILE / EMAIL ID To, AGROY FINANCE AND INVESTMENT LTD., New Delhi (SEBI REG#INZ000185530) (DP ID 12060700) I/We wish to make changes to my Mobile No. / Email ID registered with the Trading/Demat Account with you [As per details given below] BOID: |1 |2 | 0 | 6 0 |7 | 0 | 0 | | | | | | | | | UCC: **DATE:** | D| D| / | M| M| / | Y | Y | Y | Y | A. MOBILE NUMBER / EMAIL ID I/We hereby request you to send all your communications pertaining to my/our Trade Confirmations, Contract Notes, MTM Obligation, Margin Calls or any other communication (which is necessary from your Compliance point of view) including the verification call from Agroy etc., on the below mentioned Mobile number / Email ID: 2ndt Holder / Auth. Signatory 3rd Holder / Auth. Signatory 1st Holder / Auth. Signatory **SIGN** NAME

MODIFICATION IN TRADING & DEMAT ACCOUNT To, AGROY FINANCE AND INVESTMENT LTD., New Delhi (SEBI REG#INZ000185530) (DP ID 12060700) I/We wish to make changes to my Trading/Demat Account with you [As per details given below] BOID: |1|2|0|6|0|7|0|0| | DATE: |D|D|/|M|M|/|Y|Y|Y|Y UCC: A. BANK ACCOUNT(S) DETAILS - For Payin / Payout and Dividends / Corporate Actions **Bank Name Branch Address** City/Town/Village ____ Country Bank Account No. □ NRO \square NRI \square NRE **Account Type** Saving □ Current □ Others - In case of **MICR Number** IFSC Code Note: Please attach a Cancelled Cheque **B. TRADING PREFERENCES** *Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you. NSE, BSE Cash / F&O All Segments Mutual Fund # If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be submitted by the client. C. INCOME DETAILS Gross Annual Income Details (Please tick): ☐ Below 1 Lac ☐ 1-5 Lac ☐ 5-10 Lac ☐ 10-25 Lac ☐ 25 Lacs-1Cr☐above 1Cr Net-Worth in Rs. Net worth should not be older than 1 year as on (date) Lalad / Lmlm / Lyly Lyly 1st Holder / Auth.Signatory 2ndt Holder / Auth. Signatory 3rd Holder / Auth. Signatory SIGN NAME

Agroy Finance and Investment Limited CDSL DP ID 60700 New Delhi Trading UCC: Demat BOID: 12060700 Pursuant to SEBI Circular SEBI/HO/MIRSD/DoP/P/CIR/2022/44 dated April 04, 2022, with respect to my / or Demat Account and Trading Account held with you, I/we hereby authorise you to carry out Demat Debit a Pledge Instructions as follows: 1. Transfer of securities held in my/our beneficial owner accounts towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by me/us on the Stock Exchange through Agroy Finance and Investment Limited. 2. Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) viz. Agroy Finance and Investment Limited for the purpose of meeting my / our	Certificate No	Dated:
Agroy Finance and Investment Limited CDSL DP ID 60700 New Delhi Trading UCC: Demat BOID: 12060700 Pursuant to SEBI Circular SEBI/HO/MIRSD/DoP/P/CIR/2022/44 dated April 04, 2022, with respect to my / or Demat Account and Trading Account held with you, I/we hereby authorise you to carry out Demat Debit and Pledge Instructions as follows: 1. Transfer of securities held in my/our beneficial owner accounts towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by me/us on the Stock Exchange through Agroy Finance and Investment Limited. 2. Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) viz. Agroy Finance and Investment Limited for the purpose of meeting my / our margin requirements in connection with the trades executed by me/us on the Stock Exchange. Second Holder Second Holder	DEMAT DEBIT AND PLEDGE INS	TRUCTION
Transfer of securities held in my/our beneficial owner accounts towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by me/us on the Stock Exchange through Agroy Finance and Investment Limited. Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) viz. Agroy Finance and Investment Limited for the purpose of meeting my / our margin requirements in connection with the trades executed by me/us on the Stock Exchange. First Holder First Holder Second Holder	To Agroy Finance and Investment Limited CDSL DP ID 60700 New Delhi	
Demat Account and Trading Account held with you, I/we hereby authorise you to carry out Demat Debit a Pledge Instructions as follows: 1. Transfer of securities held in my/our beneficial owner accounts towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by me/us on the Stock Exchange through Agroy Finance and Investment Limited. 2. Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) viz. Agroy Finance and Investment Limited for the purpose of meeting my / our margin requirements in connection with the trades executed by me/us on the Stock Exchange. First Holder Second Holder Second Holder	Trading UCC: Demat BOID: 12060700	
accounts towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by me/us on the Stock Exchange through Agroy Finance and Investment Limited. 2. Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) viz. Agroy Finance and Investment Limited for the purpose of meeting my / our margin requirements in connection with the trades executed by me/us on the Stock Exchange. First Holder First Holder Second Holder First Holder Second Holder	Pursuant to SEBI Circular SEBI/HO/MIRSD/DoP/P/CIR/2022/44 da Demat Account and Trading Account held with you, I/we hereby a Pledge Instructions as follows:	ated April 04, 2022, with respect to my / ou uthorise you to carry out Demat Debit and
settlement obligations arising out of trades executed by me/us on the Stock Exchange through Agroy Finance and Investment Limited. 2. Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) viz. Agroy Finance and Investment Limited for the purpose of meeting my / our margin requirements in connection with the trades executed by me/us on the Stock Exchange. Second Holder First Holder Second Holder		First Holder
Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) viz. Agroy Finance and Investment Limited for the purpose of meeting my / our margin requirements in connection with the trades executed by me/us on the Stock Exchange. Third Holder First Holder Second Holder	settlement obligations arising out of trades executed by me/us on the Stock Exchange through Agroy Finance and	Second Holder
member (TM) / clearing member (CM) viz. Agroy Finance and Investment Limited for the purpose of meeting my / our margin requirements in connection with the trades executed by me/us on the Stock Exchange.	Investment Limited.	Third Holder
and Investment Limited for the purpose of meeting my / our margin requirements in connection with the trades executed by me/us on the Stock Exchange. Second Holder	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) viz. Agroy Finance	First Holder
	and Investment Limited for the purpose of meeting my / our margin requirements in connection with the trades executed	Second Holder
	by me/us on the Stock Exchange.	Third Holder
Mutual Fund transactions being executed on Stock Exchange order entry platforms. First Holder		First Holder
		Second Holder
Third Holder		Third Holder
4. Tendering shares in open offers through Stock Exchange platforms.		First Holder
		Second Holder
Third Holder		Third Holder

This Demat Debit and Pledge Instruction is annexed to the Non-Judicial e-Stamp Paper vide

^{*} the same may be eSigned or signed physically by all joint holders / authorised signatories

^{*} in this regard refer the clause in 'Rights and Obligations' Document under the sub heading 'Additional Rights and obligations. Given on website and in client copy

MOST IMPORTANT TERMS AND CONDITIONS (MITC)

- Your trading account has a "Unique Client Code" (UCC), different from your demat 1. account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
- 2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
- 3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
- 4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
- 5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
- You will get a contract note from the stock broker within 24 hours of the trade. 6.
- You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your 7. stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
- The stock broker is expected to know your financial status and monitor your accounts 8. accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
- In case of disputes with the stock broker, you can raise a grievance on the dedicated 9. investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.

10.	nature are prohibited		es or any other schemes of s have any protection/recourse chemes.	
ACK	NOWLEDGEMENT:		Date - -	
	First Holder Signature	Second Holder Signature	Third Holder Signature	
				AGRO'